

13571 B
CEB:lp
09 F b 04

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

-----X
Applicant : Riaz Merchant :
Serial No. : 10/699,074 :
Filed : October 30, 2003 :
For : SYSTEM AND METHOD FOR MIGRATING AN :
APPLICATION DEVELOPED AROUND ISAM :
DATABASE SERVER TO AN SQL DATABASE :
SERVER WITHOUT SOURCE LEVEL CHANGES :
Art Unit : 2171 :
Examiner : Unknown :
-----X

OFFICE OF INITIAL PATENT EXAMINATION'S
FILING RECEIPT CORRECTIONS

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

NOTICE OF CORRECTION TO FILING RECEIPT

Dear Sir:

Please take notice that the Attorney's address in the above captioned Application

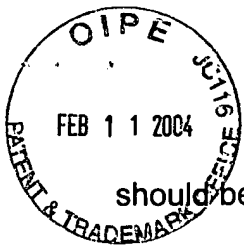
MAILING CERTIFICATE

I hereby certify that this correspondence is being deposited with the United States Postal Service as First-class mail in an envelope addressed to: OFFICE OF INITIAL PATENT EXAMINATION'S FILING RECEIPT CORRECTIONS, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date indicated below:

Charles E. Baxley
Attorney's Name

Charles E. Baxley
Signature

February 9, 2004
Date



should be as follows:

CHARLES E. BAXLEY, ESQ.
90 John Street
Third Floor
New York, New York 10038.

A copy of the Filing Receipt is enclosed herewith with a correction noted thereon in red. Please generate a new Filing Receipt incorporating the requested correction.

Cooperation and skill of Customer Service Center in correcting the Filing Receipt are appreciated.

Respectfully,

By: Charles E. Baxley
CHARLES E. BAXLEY
Attorney of Record
USPTO Reg. 20,149
90 John Street - 3rd Floor
New York, New York 10038
Tel: (212) 791-7200
Fax: (212) 791-7276
E-Mail: ceb@hartbaxley.com

CEB:lp/13571B
Enclosure: Copy of Filing Receipt



IN THE UNITED STATES PATENT & TRADEMARK OFFICE

Applicant : Riaz Merchant
Serial No. : 10/699,074
Filed : October 30, 2003
For : **SYSTEM AND METHOD FOR MIGRATING AN APPLICATION DEVELOPED AROUND
ISAM DATABASE SERVER WITHOUT SOURCE LEVEL CHANGES**

Docket Number: 13571 B
Art Unit : 2171
Examiner : Unknown

Transmitted herewith is/are the following:

- ☒ NOTICE OF CORRECTION TO FILING RECEIPT w/MAILING CERTIFICATE
- ☒ Copy of Filing Receipt with correction noted thereon in red
- ☒ Other Acknowledgment Postcard

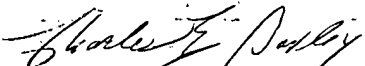
☒ The Commissioner is authorized to charge any additional fees required, or to credit any overpayment to Account No. 02-1435. A duplicate copy of this sheet is enclosed.

☐ A check in the amount of \$___ is enclosed.

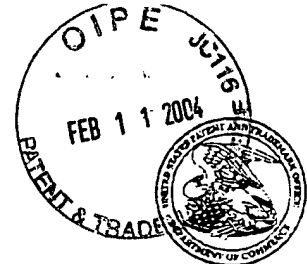
☒ I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Non-Fee Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on February 9, 2004.

Respectfully,

Dated: February 9, 2004
CHARLES E. BAXLEY, ESQUIRE
Hart, Baxley, Daniels & Holton
90 John Street, Third Floor
New York, New York 10038


CHARLES E. BAXLEY
Attorney of Record
USPTO REG. 20,149

Tel: (212) 791-7200
Fax: (212) 791-7276
E-Mail: ceb@hartbaxley.com
CEB:lp/13571 B



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/699,074	10/30/2003	2171	736	13571 B	12	59	2

CONFIRMATION NO. 1373

FILING RECEIPT



OC000000011799213

36672
 CHARLES E. BAXLEY, ESQ.
 90-50 JOHN STREET
 THIRD FIFTH FLOOR
 NEW YORK, NY 10038

Date Mailed: 02/02/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Riaz Merchant, Miami, FL;

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted: 01/30/2004

Projected Publication Date: 05/05/2005

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

Title

System and method for migrating an application developed around an ISAM database server to an SQL database server without source level changes

Preliminary Class

707

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).